

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT

MARK VAN ROON

TITLE

COMPUTER BASED MATCHING SYSTEM FOR PARTY AND COUNTERPARTY EXCHANGES

INTERNATIONAL

FILING DATE

13 March 2000

INTERNATIONAL

APPLICATION NO.

PCT/GB00/00909

US SERIAL NO.

09/936,366

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202

Date of Deposit: Nevi 30 20

Name : Richard C Woodbridge

Signature

ASSISTANT COMMISSIONER OF PATENTS WASHINGTON, D.C. 20231

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Dear Sir:

In accordance with the Notification of Missing Requirements mailed November 15, 2001, enclosed are the following:

1. Original Declaration and Power of Attorney, consisting of three (3) pages, signed by the inventor. An unsigned Declaration was submitted with the filing of this application. Attorney for

Attorney Docket No. 5035-113US

Applicant states that the above referenced application filed with the U.S. Patent and Trademark Office on September 11, 2001 is the application that the inventor executed by signing the attached declaration.

- 2. Check in the amount of \$65.00 to cover the surcharge for the late filing of a Declaration.
 - 3. Copy of Notification of Missing Requirements, Confirmation No. 4195.
- 4. Also enclosed is an original Assignment, executed by the inventor, together with our check in the amount of \$40.00 to cover the recordal fee, and a Recordation Form Cover Sheet.

Request is hereby made to accept the enclosed documents and have them made a part of applicant's file.

If any additional filing fees are deemed to be appropriate, please charge our Deposit Account No. 23-3040.

Respectfully submitted,

Bv

Richard C. Woodbridge Attorney for Applicant

Reg. No. 26,423

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UNITED STATES PATENT AND TRADEMARK OFFICE

Corranssoner for Parints, Box FCI United States Potent and Fraderian Office Washington, D.C., 2023 www.mado.ess

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. .

09/936.366 Mark Van Roon 5035-113US

INTERNATIONAL APPLICATION NO.

PCT/GB00/00909

LA. FILING DATE PRIORITY DATE
03/13/2000 03/12/1999

Richard C Woodbridge Woodbridge & Associates PO Box 592 Princeton, NJ 08542-0592



CONFIRMATION NO. 4195
371 FORMALITIES LETTER
OC000000007068613

Date Mailed: 11/15/2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments
- Request for Immediate Examination
- Small Entity Statement

26 2361

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$65 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions

of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

• \$65 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

PATRICIA A BOOKER

Telephone: (703) 305-3738

-PART 1 - ATTORNEY/APPLICANT.COPY_____

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
09/936,366	PCT/GB00/00909	5035-113US